

DFW

Docket No.: 050395-0257

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Customer Number: 20277  
Masahi ITO : Confirmation Number: 4729  
Application No.: 10/797,197 : Group Art Unit: 2822  
Filed: March 11, 2004 : Examiner: David E. Graybill  
For: SEMICONDUCTOR OPTICAL INTEGRATED DEVICE

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

In accordance with 37 CFR 1.17(p), please charge the fee of \$180.00 to Deposit Account No. 500417.

06/02/2006 SZEWDIE1 00000095 500417 10797197  
01 FC:1806 180.00 DA


10/797,197

Each non-English language reference was cited in a corresponding foreign application office action and its relevance discussed therein. A copy of the foreign office action, together with an English language version thereof, is attached for the Examiner's information.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

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**Date: June 1, 2006**

**Please recognize our Customer No. 20277  
as our correspondence address.**



SHEET 1 OF 1

INFORMATION DISCLOSURE CITATION IN AN APPLICATION  (PTO-1449)				ATTY. DOCKET NO. <b>050395-0257</b>	SERIAL NO. <b>10/797,197</b>	
				APPLICANT <b>Masahi ITO</b>		
				FILING DATE <b>March 11, 2004</b>	GROUP <b>2822</b>	
<b>U.S. PATENT DOCUMENTS</b>						
EXAMINER'S INITIALS	CITE NO.	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US				
		US				
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<b>FOREIGN PATENT DOCUMENTS</b>						
EXAMINER'S INITIALS	CITE NO.	Foreign Patent Document Country Code <sup>3</sup> -Number + -Kind Codes (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Figures Appear	Translation Yes No
		JP 2000-332342 A	11/30/2000	FUJITSU LTD		JAPAN (w/ English abstract)
		JP 08-262476 A	10/11/1996	SHARP CORP		JAPAN (w/ English abstract)
		JP 05-335341 A	12/17/1993	FUJITSU LTD		JAPAN (w/ English abstract)
		JP 2001-337246 A	12/07/2001	MATSUSHITA ELECTRIC IND CO LTD		JAPAN (w/ English abstract)
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>						
EXAMINER'S INITIALS	CITE NO.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				
		Japanese Office Action for Corresponding Japanese Patent Application No. JP 2003-070492, dispatched February 2, 2006				
EXAMINER			DATE CONSIDERED			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.